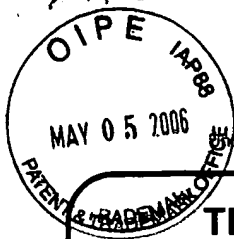


7,016,870.

C of C



PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/495,982
	Filing Date	February 1, 2000
	First Named Inventor	JONES
	Art Unit	5534
	Examiner Name	DIXON, T.
Total Number of Pages in This Submission	Attorney Docket Number	59036-249728

Certificate

MAY 11 2006

of Correction

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Return postcard; 2. Request for Certificate of Correction of Patent for USPTO Mistake under 37 CFR 1.322(a); 3. Certificate of Correction, in duplicate.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael A. DeSanctis, Registration No. 29,957 Faegre & Benson LLP
Signature	<i>[Handwritten Signature]</i>
Date	5/2/06

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Aimee M. Thuerk
Signature	<i>Aimee M Thuerk</i>
Date	2 MAY 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAY 11 2006



PATENT

Attorney Docket No. 59036-249728

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jones et al.

Application No.: 09/495,982

Filed: February 1, 2000

Patent No.: 7,016,870

Issued: March 21, 2006

For: IDENTIFYING A RECOMMENDED
PORTFOLIO OF FINANCIAL
PRODUCTS FOR AN INVESTOR
BASED UPON FINANCIAL
PRODUCTS THAT ARE
AVAILABLE TO THE INVESTOR

Examiner: Thomas A. DIXON

Art Unit: 3639

Confirmation No.: 5534

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Aimee M Thuek *May 2, 2006*
Signature Date

ATTENTION: Decision and Certificate
Correction – Branch of the Patent Issue
Division

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR UNITED STATES PATENT AND TRADEMARK OFFICE MISTAKE (37 CFR 1.322(a))

Sir:

1. Transmitted herewith in duplicate is Form PTO/SB/44 with at least one copy being suitable for printing.

2. The exact page and line number where the errors occur in the application file are shown in the chart below:

Location in Patent	Location in Application	Error
Claim 9, Col. 30, Line 26	Specification, Claim 6	Claim 9 should depend from Claim 4, not Claim 1 as a result of the renumbering of the original claims by the USPTO.

MAY 11 2006

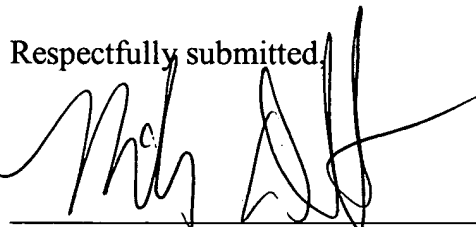
3. Correction of the errors presented herein does not involve new matter or require re-examination.

4. The Inventors respectfully solicit the Commissioner to grant this request for a Certificate of Correction addressing the errors noted herein. Please send the certified Certificate of Correction to:

Michael A. DeSanctis
Faegre & Benson LLP
3200 Wells Fargo Center
1700 Lincoln Street
Denver, CO 80203-4532

5. It is believed the errors were made by the United States Patent and Trademark Office and no fee is due. The Commissioner is, however, authorized to charge any fees that may be required, or credit any overpayment, to Deposit Account 06-0029.

Dated: 5/2/06

Respectfully submitted,


Michael A. DeSanctis
Registration No. 39,957
Attorney for Applicant
Customer No. 35657

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO : 7,016,870

Page 1 of 1

APPLICATION NO. : 09/495,982

ISSUE DATE : March 21, 2006

INVENTOR(S) : Jones et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Column 30

Line 26, delete "1" and insert - 4- -

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Michael A. DeSanctis, Reg. No. 39,957
Faegre & Benson LLP
3200 Wells Fargo Center
1700 Lincoln Street
Denver, CO 80203-4532

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

2006